Small Business Reserve (SBR) Program Liaison Training



Presented by
Governor's Office of
Small, Minority & Women Business Affairs (GOSBA)

October 28, 2020, 9 am – 12 pm

Virtual SBR Liaison Training Logistics

For those of your who joined by computer:

A red microphone means you are muted. A red camera means you are not visible to everyone. You do not need to be visible unless you are presenting.

Please do not hang up if you must step away, because we will have to readmit you to the meeting.







Virtual SBR Liaison Training Logistics

Please ensure that you are on "mute" unless you are a presenter and introduced to speak

Unmute yourself, if you need to speak and introduce yourself by name and agency prior to speaking

Please use the CHAT bar to ask questions or make comments during the training







EE Turn on captions resent now

Virtual SBR Liaison Training Logistics

For those who have joined by phone:

You must press *6 to mute and unmute your phone

AGENDA

- Welcome and GOSBA Staff Introduction
- 2. Small Business Reserve (SBR) Program Overview
- 3. The Procurement Review Group (PRG) Process Chantal Kai- Lewis
- 4. SBR Liaison Officer Responsibilities Lisa Mitchell Sennaar
- 5. The SBR Reporting Toolkit Lisa Mitchell Sennaar
 - 1. Quarterly/Annual Reporting Requirements and Instructions -
 - 2. Procurement Forecast Template and Instructions
 - 3. Strategic Business Plan Template and Instructions
 - 4. "Using eMMA to Search for and Verify Certification Status for SBRs and VSBEs"
 - 5. Procurement Compliance Bulletins and Compliance Library
- 6. VSBE Update and Reporting-Tanita Johnson & Nichelle Johnson
- 7. Announcements/Survey Reminder



Today's deck will be available in the SBR toolkit in about a week.

GOSBA Staff

Jimmy Rhee, Special Secretary

Pam Gregory, Chief of Staff

Alison Tavik, Director of Communications & Outreach

Chantal Kai-Lewis, Legal & Policy Advisor

Lisa Mitchell Sennaar, SBR Compliance Manager

Tanita Johnson, SBR Compliance Manager

Nichelle Johnson, MBE Compliance Manager

Eduardo Hayden, Outreach Manager

Gerald Stinnett, MBE Compliance Manager VLT Operations

Small Business Reserve (SBR) Program Overview

In 2004, the state created the Small Business Reserve (SBR) Program, providing small businesses with the opportunity to participate as prime contractors on state contracts by establishing a unique marketplace where small businesses compete against other small businesses instead of larger, more established companies.

SBR Program Overview

Code of Maryland Regulations (COMAR) 21.11.01.06

B. Scope. To the extent practicable, each procurement unit shall structure its procurement procedures to achieve the result that a minimum of 15 percent of the unit's total dollar value of procurements for goods, supplies, services, maintenance, construction, construction-related services, architectural services, and engineering services are expended directly with certified small businesses at the prime contract level.

SBR Program Overview

Code of Maryland Regulations (COMAR) 21.11.01.06

D. Expenditures.

(b) <u>Only</u> those payments resulting from a procurement <u>designated</u> as a small business reserve procurement may be applied towards the procurement unit's overall small business reserve payment achievement.

Note: SBR Designated payments were 3.4 percent in FY2019, up from 2.4 percent in FY2018

The Procurement Review Group (PRG) Process

BPW Advisory 2005-1 Small Business Reserve Program

Procurement Review Groups. Procurement units should expand the responsibilities of their MBE procurement review groups (see BPW Advisory 2001-2) to include a concurrent review of procurement solicitations for potential designation as small business reserve procurements.

The Procurement Review Group & SBR Liaisons



Governor's Office of Small, Minority & Women Business Affairs

Procurement Review Group

Process for reviewing any:

- (a) Contract solicitations;
- (b) proposed sole-source contracts; and
- (c) proposed contract renewal options

Any contract expected to exceed \$200,000 in value must go through the PRG Process

Group makes recommendations to the agency head and procurement officer concerning specific contract goals and/or procurement methods.

TIPS: Don't automatically exempt emergency procurements from SBR designation. MBE Goals may apply.

Subcontracting to Non-SBR Firms

 Currently working on policy guidance that would explicitly bar SBR certified firms from subcontracting out contract performance to non-SBR firms

Pass-thru relationship against the spirit of the program

Before Goalsetting

DO Consider Race Neutral Options (COMAR 21.11.03.07)

Race neutral methods are those that assist businesses without consideration of social, economic, race or gender

Small Business Reserve

Small Business Preference

Divide larger procurements into several smaller procurements where feasible

Directly notifying qualified small businesses including MBES

Relaxed bonding requirements

Simplifying bidding requirements to the extent permitted by law

Pre-Solicitation Conferences

Clarify complex solicitations
Connect subs and primes

SBR Dredging Company was a subcontractor to a large firm on a \$5m dredging and tugboat project in 2019 and handled \$500 thousand worth of dredging work.

New dredging work arises in 2020 that is estimated at \$1.0m, PRG is reviewing the scope and determines it is a good opportunity to designate this as an SBR, because they believe that there are enough small firms to bid on this work.

What is the best practice to put forth the solicitation?

- ☐ to open market with no MBE goal
- ☐ to open market with 3% VSBE goal
- ☐ as SBR designation as SBR designation and accept winning bid with SBR naming a large subcontractor to perform 70% of the work
- ☐ to open market with an MBE goal
- ☐ as SBR designation with an MBE goal
- ☐ As SBR designation

SBR Dredging Company was a subcontractor to a large firm on a \$2.0m dredging and tugboat project in 2019 and handled \$500 thousand worth of dredging work.

New dredging work arises in 2020 that is estimated at \$1.0m, PRG is reviewing the scope and determines it is a good opportunity to designate this as an SBR, because they believe that there are enough small firms to bid on this work.

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large subcontractor to perform 70% of the work
☐ to open market with an MBE goal
☐ as SBR designation with an MBE goal
□ As SBR designation

Maryland Port Administration has the need for Printing, Plotting and Scanning Services for Engineering Related Documents Estimated cost is \$20,000.

What is the best practice to put forth the solicitation?

- ☐ to open market with no MBE goal
- ☐ to open market with VSBE goal
- ☐ as SBR designated
- ☐ to open market with an 20% MBE goal
- ☐ as SBR designation with an MBE goal

Agency has the need for Printing, Plotting and Scanning Services for Engineering Related Documents Estimated cost is \$20,000.

What is the best practice to put forth the solicitation?

- ☐ to open market with no MBE goal
- ☐ to open market with VSBE goal
- ☐ as SBR designated
- ☐ to open market with an 20% MBE goal
- ☐ as SBR designation with an MBE goal

QUESTIONS?

Chantal Kai-Lewis chantal.kai-lewis@maryland.gov

The SBR Liaison Officer

Code of Maryland Regulations (COMAR) 21.11.01.06

I. SBR Liaison Officer. The head of each procurement unit shall designate an employee to be the SBR liaison officer in the administration of that unit's small business reserve program.

The SBR Liaison Officer cont'd

The SBR liaison officer shall be a high-level employee reporting directly to the agency head or head of the procurement unit.

The SBR Liaison Officer's Responsibilities

The SBR liaison officer shall be responsible for:

- coordinating the procurement unit's outreach efforts to the small business community,
- reviewing the unit's contracting procedures to ensure compliance with small business reserve requirements,
- assisting in the resolution of small business reserve contracting issues,
- and submitting required small business reserve program reports or information.

The SBR Liaison Directory

The SBR toolkit contains valuable information to assist State agencies/departments with the management and compliance of the Small Business Reserve (SBR) Program.

- SBR Annual Reporting instructions and template was due on September 30, 2020
- SBR Quarterly Reporting instructions and template is due no later than 30 days following the quarter being reported and should contain data from the start of the fiscal year.

Procurement Forecast

This data, which includes all projected purchases of \$100,000 and above for the fiscal year, connects with the Hogan Administration's theme that "Maryland is Open for Business." Keep in mind the forecast is provided to the public and serves as an important tool for the small, minority, women, and veteran business community. Please give the proper attention to this mandatory report by following the instructions and being thorough with your responses.

Procurement Forecast

FY2021 Procurement Forecast –instructions and template was due on or before June 30, 2020.

SBR Reporting Toolkit

Agency only need to turn in one Procurement Forecast that includes all projected purchases inclusive of SBR Designated procurements, and contracts that may contain MBE and VSBE goals

The FY2021 Strategic Plan

The focus and objective of the SBR Program is to have units provide the largest number of SBR prime contracting opportunities.

The FY2021 Strategic Plan instructions and template was due on or before June 30, 2020.

"Using eMMA to Search for and Verify Certification Status for SBRs and VSBEs"



VSBE (Veteran-Owned Small Business Enterprise) Updates and Reporting

Presented By

Tanita Johnson, SBR Compliance Manager

Nichelle Johnson, MBE Compliance Manager

VSBE PROGRAM (Veteran-Owned Small Business Enterprise)

- Provides contracting opportunities on state-funded procurements for qualified veteran-owned small businesses.
- Specific agencies spend at least 1% of the total dollar value of their procurement contracts either directly (prime contractors) or indirectly (subcontractors) with certified VSBE firms.

VSBE PROGRAM (Veteran-Owned Small Business Enterprise)

- Provides contracting opportunities on state-funded procurements for qualified veteran-owned small businesses.
- Specific agencies spend at least 1% of the total dollar value of their procurement contracts either directly (prime contractors) or indirectly (subcontractors) with certified VSBE firms.

VSBE PROGRAM (Veteran-Owned Small Business Enterprise)

VSBE Businesses must:

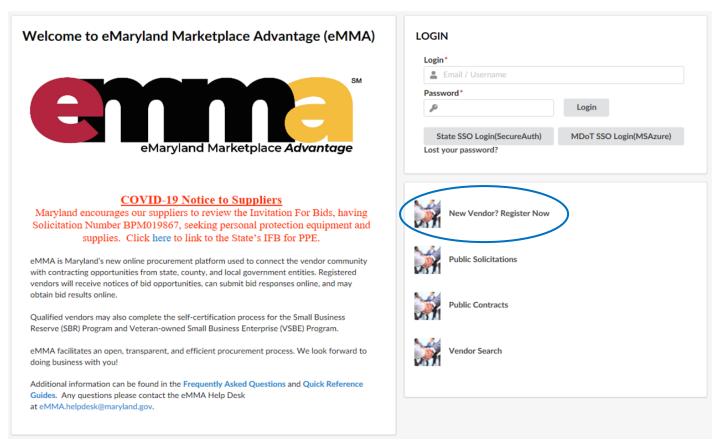
- 1) Meet size standards adopted by the United States Small Business Administration in 13 C.F.R. 121.201 and any subsequent revision of that regulation
- 2) Be at least 51% owned and controlled by one or more individuals who are veterans

Certification within VSBE requires a vendor to:

- 1) Register within eMaryland Marketplace Advantage (eMMA)
- 2) Obtain Veteran Verification (Maryland Department of Veterans Affairs OR U.S. Department of Veterans Affairs/Vetbiz)
- 3) Submit the eMMA VSBE Application

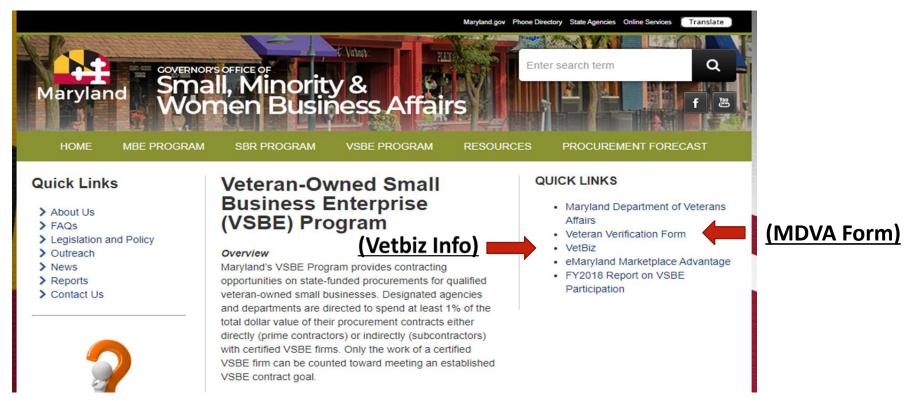
VSBE- Vendor Registration

1) Vendor must register within eMaryland Marketplace Advantage (eMMA)



2) Vendor must obtain Veteran Verification from the Maryland Department of Veterans Affairs OR U.S. Department of Veterans Affairs/Vetbiz.

Information can be found on gomdsmallbiz.maryland.gov.



MDVA Verification of Veteran Status Form

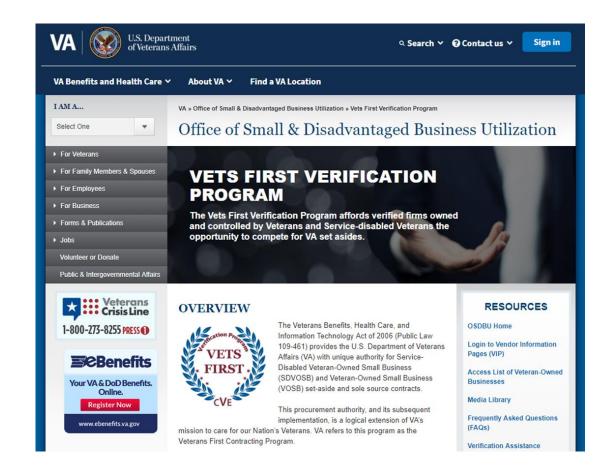
Vendor forwards this form to the MDVA to obtain Veteran verification.

Veteran-Owned Small Busines	Il business owner seeking veteran verification for parti ss Enterprise (VSBE) Program shall complete SECTION locumentation (copy only), to the address noted at the	S A, B & C. Mail the completed
SECTION A - Veteran Info	rmation	
NAME:		
ADDRESS:		
PHONE: ()	EMAIL:	
SECTION B- Veteran Statu		
I,	certify the following (check the	box that applies):
Meet the federal active	e duty requirement to be a veteran.	
Was discharged or rele service-connected disability.	eased from active duty in the US Armed Forces of the	United States and have a
SECTION C - Veteran's Sw	vorn Statement	
I swear, under penalty of per it is a true, correct statement.	jury, that I have examined this certificate and to the ${\sf b}$	est of my knowledge and belie
it is a true, correct statement.		•
it is a true, correct statement. Veteran's Signature:	eking certification in Maryland's VSBE Program: Proof of veteran status requires a COPY of one of DD214, DD215, Discharge Papers, or a copy of the proof of the	e:
it is a true, correct statement. Veteran's Signature: Name of business entity see Acceptable Documentation	Proof of veteran status requires a COPY of one o DD214, DD215, Discharge Papers, or a copy of Veterans Affairs Rating Decision. Send a copy	e: f the following: he United States Department only, Do not send original.
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If the vendor is
verified as a Veteran,
they will receive an
MDVA# (i.e.
MDVA2020-000),
which will be used as
the "VSBE
Certification#"
within their eMMA
account.

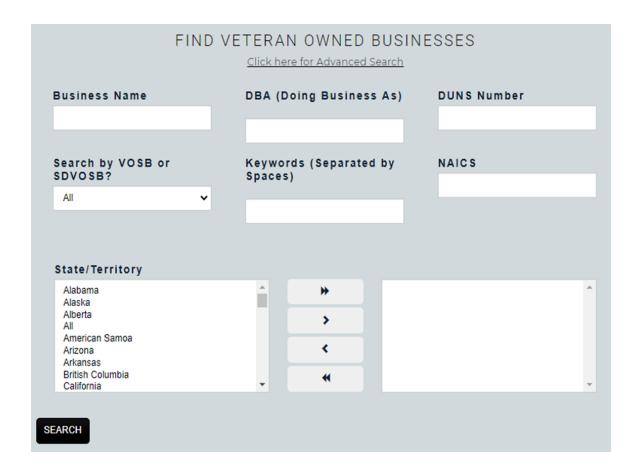
Vetbiz Information

If the vendor decides to obtain Veteran Verification from the U.S. Department of Veterans Affairs/Vetbiz, they can visit this website for information-https://www.va.gov/osdbu/verification/.



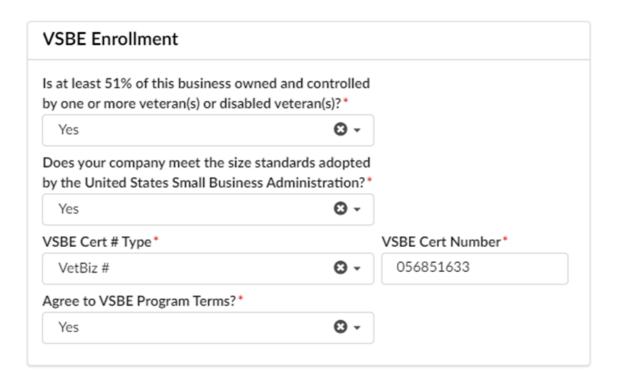
Vetbiz Information

If the vendor already has a Vetbiz account that is current/valid, in which their DUNS# is found on https://vip.vetbiz.va.gov/business-home/, this DUNS# can be used for the Veteran verification (and listed within their eMMA account as the "VSBE Certification #").



VSBE-eMMA VSBE Application

3) Vendor must submit the eMMA VSBE Application.



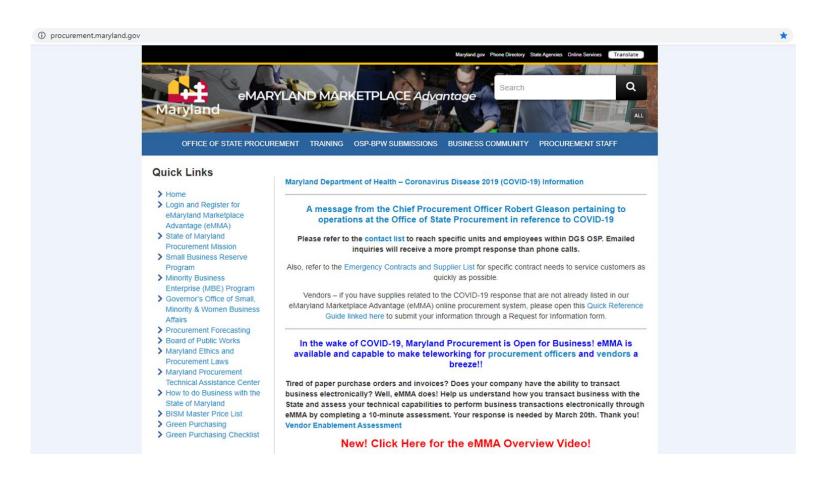
**Once the vendor has completed these 3 steps, the information will be reviewed to determine their VSBE Program certification status.

VSBE- Vendor Search For Procurement Officers/Buyers

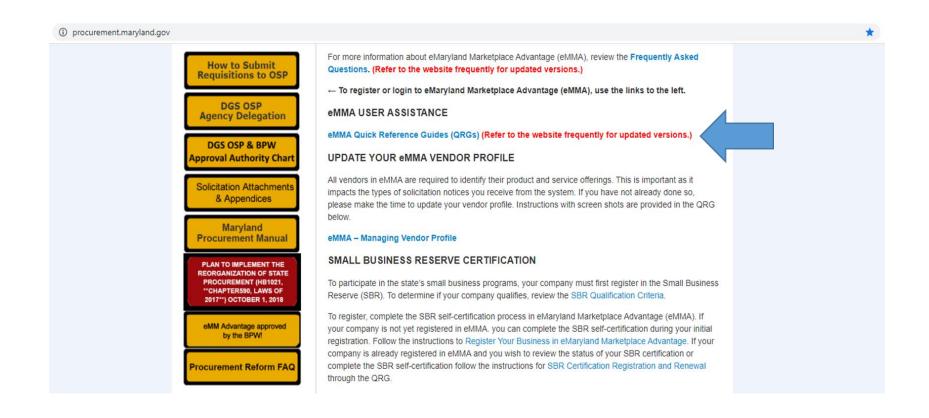
You can follow these steps to find VSBE Certified Vendors:

- 1) Visit the https://procurement.maryland.gov/ website.
- 2) Click on the "eMMA Quick Reference Guides (QRGs)" link.
- 3) Select the Buyer QRG- "Public Vendor Search for Buyers" for a guide to locate VSBE vendors.

1) Visit the https://procurement.maryland.gov/ website.



2) Click on the "eMMA Quick Reference Guides (QRGs)" link.



3) Select the Buyer QRG- "Public Vendor Search for Buyers".

Buyer QRGs

1 - eMMA QRG General Navigation in eMMA

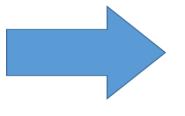
2a - eMMA QRG Creating Large Procurement IFB Sourcing Solicitations

2b - eMMA QRG Creating Large Procurement RFP Sourcing Solicitations

2c - eMMA QRG Creating Small Procurement Cat. 1 Sourcing Solicitations

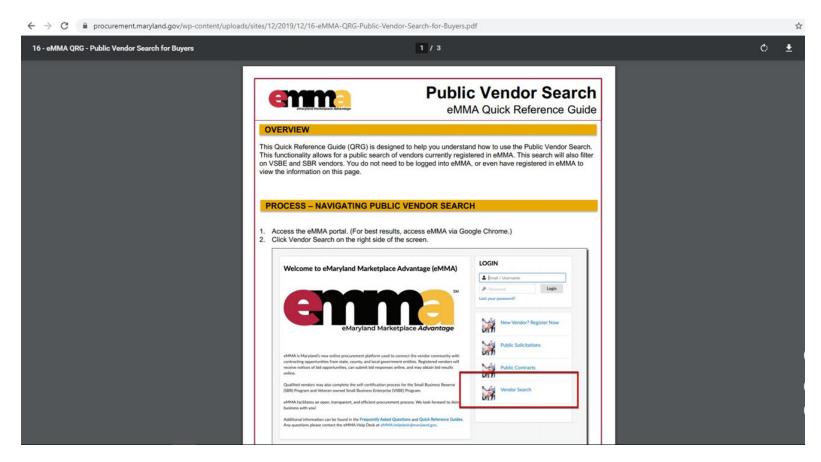
2d - eMMA QRG Creating Small Procurement Cat. 2+3 Sourcing Solicitations

2e - eMMA QRG Creating Public Posting Sourcing Solicitations (Public Notice)



16 - eMMA QRG -Public Vendor Search for Buyers

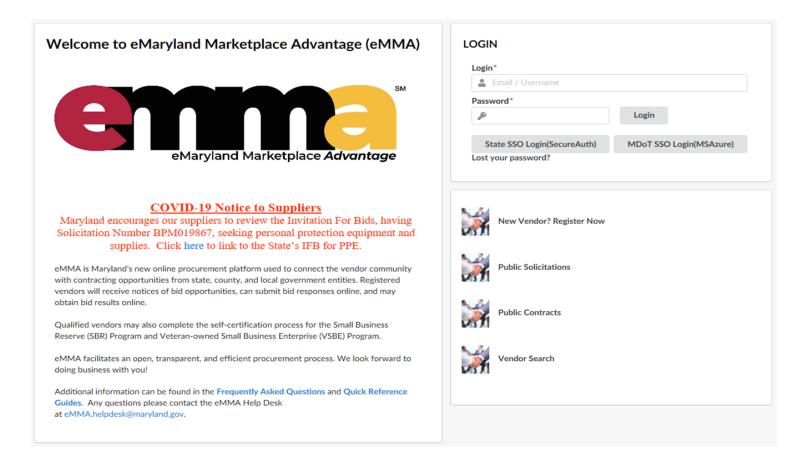
16 - eMMA QRG -Public Vendor Search for Buyers



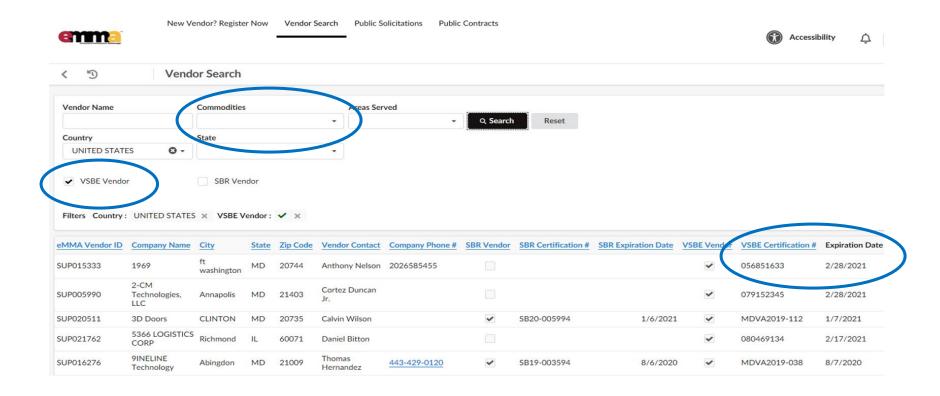
Please note the following when conducting an eMMA External Vendor Search:

-Be certain to review the information thoroughly (i.e. check that both the "VSBE Certification #" AND valid "Expiration Date" are listed, as these will be the certified VSBE vendors).

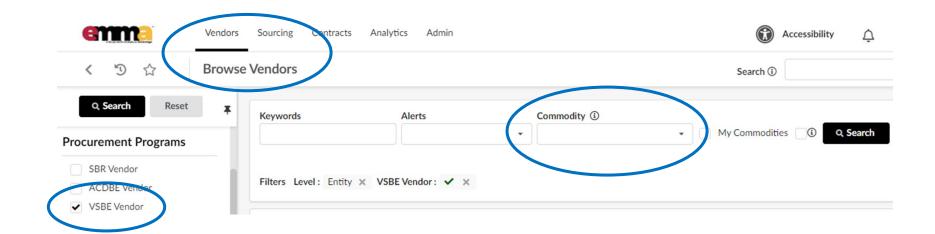
-You are unable to download a spreadsheet of vendors when conducting this search. However, you can "cut and paste" the information in an Excel Spreadsheet.



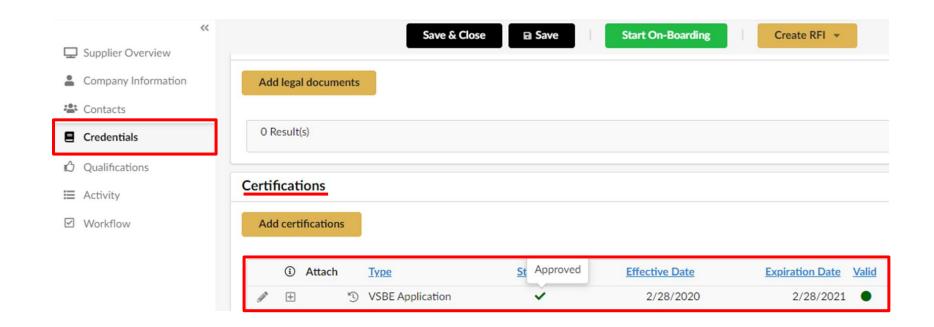
Visit the eMMA website (https://emma.maryland.gov/) to perform an External VSBE Vendor Search.



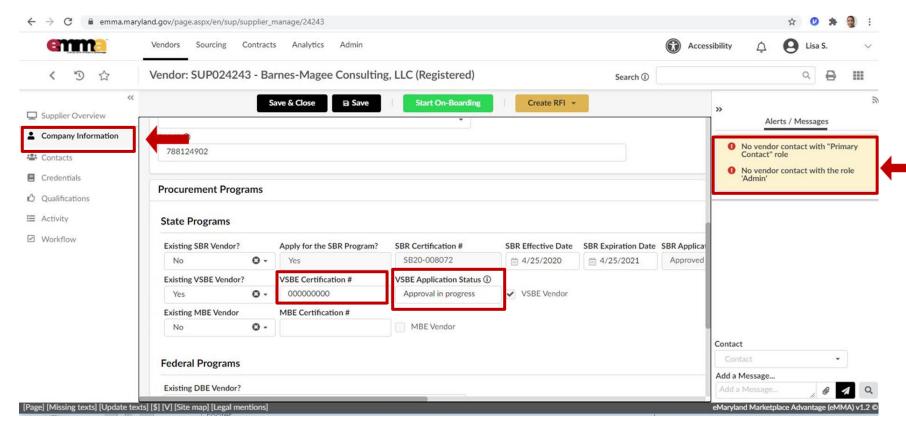
You will find the "VSBE Certification #" and "Expiration Date" listed for the VSBE certified vendors.



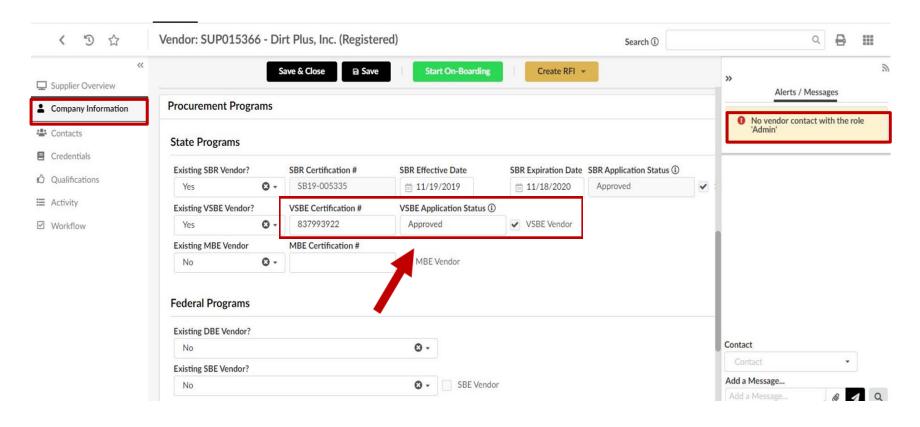
VSBE vendors can also be found by conducting an Internal Vendor Search in eMMA.



To determine the VSBE certification status, click into the "Credentials" section of the vendor's account. If the "Certifications" section has a VSBE Application with an 'Approved' Status and a valid 'Expiration Date' (i.e. date has not exceeded/certification not expired), the vendor is certified within the VSBE Program.



"VSBE Application Status" lists vendor as Approval in progress, not Approved, which is necessary for certification. Also, an invalid "VSBE Certification #" listed.

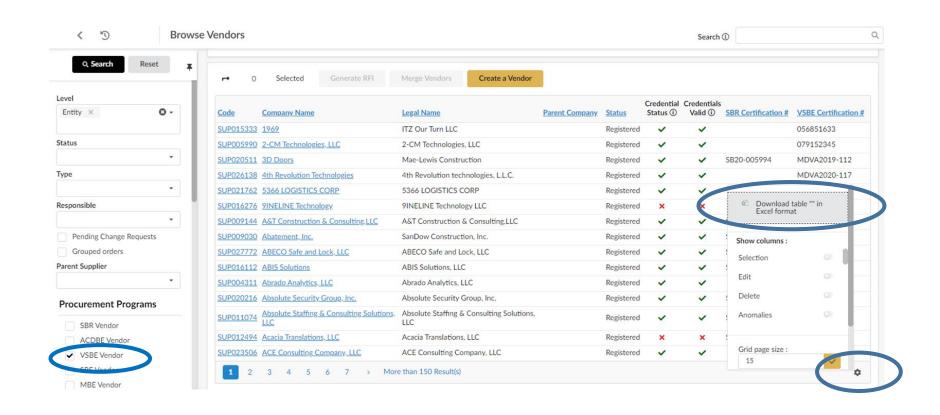


Note that the "Company Info" section of the eMMA account may list "Approved" in the "VSBE Application Status" field. However, the VSBE application may have expired. Check the "Credentials" section for verification purposes.

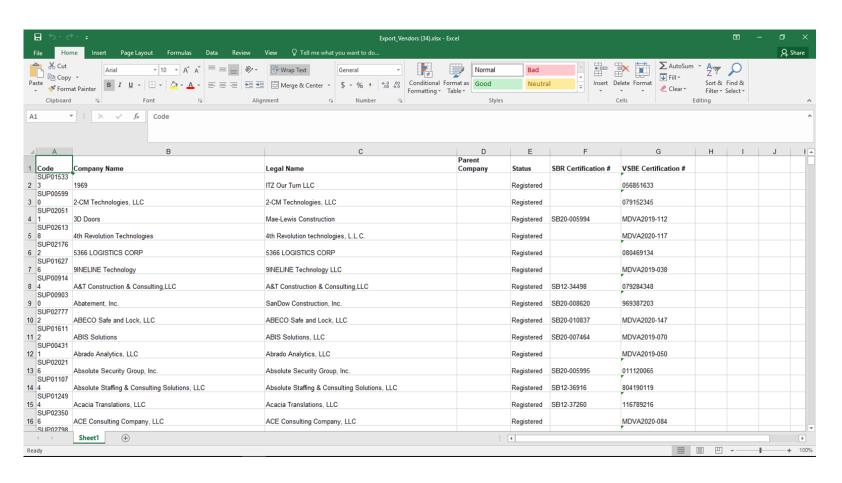
Please note the following when conducting an eMMA Internal Vendor Search:

- Be certain to review the information thoroughly (i.e. check for the 'Approved' Status AND valid "Expiration Date" within the "Credentials" section).
- You are able to download an excel spreadsheet for these vendors. However, you will need to check the vendor accounts to verify their VSBE certification.
- We are continuing to enhance the VSBE Vendor Database, if you have any questions, please contact our office, 410-697-9600, to speak with Tanita Johnson.

VSBE- Internal Vendor Search (Excel Spreadsheet)



VSBE- Internal Vendor Search (Excel Spreadsheet)



VSBE Reporting Toolkit





Quick Links

- > About Us
- > FAQs
- > Legislation and Policy
- > Outreach
- > News
- > Reports
- > Contact Us







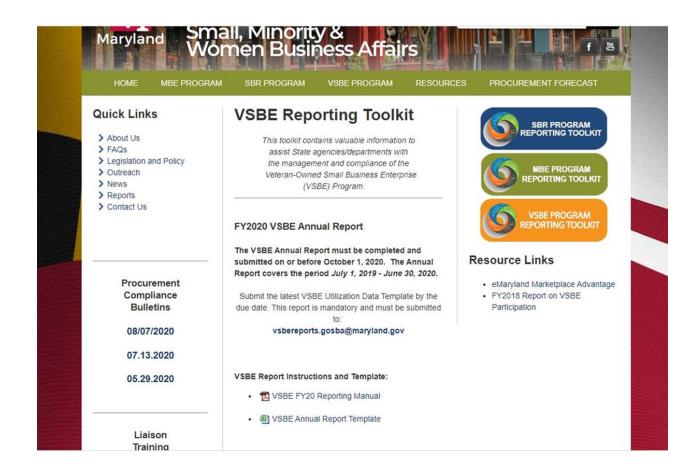
Alert!

- COVID-19 Information & Resources for Businesses
- Maryland Unites!
 A-to-Z Resources on COVID-19

TOP PICKS

- Procurement Portal
- eMaryland Marketplace Advantage (eMMA)
- · Maryland Business Express
- SBR Liaisons
- MBE Liaisons

VSBE Reporting Toolkit







- VSBE Utilization Reports are due on 10/1 annually
- Regulations: COMAR 21.11.13.04 D
 - "D. Procurement agencies shall use the forms and affidavits developed by the Governor's Office of Small, Minority, and Women Business Affairs for reporting procedures required by this title."
- VSBE Schedule E: Utilization Affidavit and Participation Schedule, are online: https://procurement.maryland.gov/rfp/ and should be completed similarly to MBE forms (COMAR 21.11.13.06)
- Bidders can request a VSBE waiver, if needed.

VSBE Reporting

	Vetera	n-Owned Sma	all Business E	nterprise (VS	BE) Annual R	eport	
			Fiscal Ye	ar 2020			
Beginn		019, the work of firms ver d Department of Veteran					rs
NSTRUCTIONS:	Complete all	yellow cells. Aut	omatic calculati	ons will appear i	n blue cells. Do n	ot edit this ter	nplate.
Agency Reporting:							
Individual Completi	ng Report:						
			Awards to VSBEs				
	Total # All Procurement Contracts Awarded	Total \$ All Procurement Contracts Awarded	Total # Contracts Awarded to VSBEs as Prime Contractors	Total \$ Contracts Awarded to VSBEs as Prime Contractors	Total # VSBE Subcontracts Awarded	Total \$ VSBE Subcontracts Awarded	Percentage of VSBE Participation
Procurement Contracts							
Corporate Credit Card							
Direct Voucher							
Total		\$0		\$0		\$0	
			Payments to VSBEs				
			Total \$ Payments to All Prime Contractors	Total \$ Payments to VSBEs as Prime and Subcontractors	VSBE Payments as % of All Payments		

VSBE Utilization Report

 The Annual Report Template can be downloaded from the New VSBE Reporting Toolkit:

> https://gomdsmallbiz.maryland. gov/Pages/Reporting-Tool-VSBE.aspx

- We also have a VSBE reporting manual available in the Toolkit
- GOSBA suggests the MBE Liaison report for the VSBE Program
- As we build out the VSBE
 Program, more information will
 be added to the Toolkit for your
 reference.

Governor's Office of Small, Minority & Women Business Affairs

VSBE Reporting

- Use data from the 700 series reports from ANSWERS or internal databases to capture VSBE payments and awards (will have to change the Report type to VET in answers , where appropriate.
- At this time credit card payments cannot be tracked in ANSWERS, therefore, agencies will have to track these payments manually.
- Total All Procurements column on the Annual Report Template should be identical to the Total All Procurements total for MBE Reporting.
- As with MBE reporting, if a contract has a VSBE Prime and Sub, do not double count. The Prime Contractor total award should be listed; do not report the VSBE Subcontractor awards and payments on these contracts.

Any Questions?

VSBE Reporting-Nichelle Johnson Nichelle.johnson1@maryland.gov

VSBE Certification- Tanita Johnson Tanita.johnson1@maryland.gov



Upcoming

Bi-Monthly Compliance Call – you may register in the SBR Toolkit



If you have any SBR Program questions, please contact me:

Lisa Mitchell Sennaar

lisa.sennaar@maryland.gov

410-508-8089

Governor's Office of Small, Minority & Women Business Affairs

THANK YOU

Please remember to complete the survey for today's training that is contained in the chat box and in the google meet invite.